



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited today with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents, Washington, D.C. 20231

11/8/01

Date

*Lisa Andreasen*

Lisa Andreasen

Attorney Docket No.

RECEIVED

NOV 28 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Technology Center 2100

In re Application of Michael G. Lamming et al.

Group Art Unit:

Application No.: 09/682,488

Examiner: Not Yet Assigned

Filed: 9/7/2001

For: METHOD AND APPARATUS FOR CONTROLLING DOCUMENT SERVICE REQUESTS USING A MOBILE COMPUTING DEVICE

Commissioner for Patents  
Washington, D.C. 20231

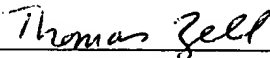
Sir:

**INFORMATION DISCLOSURE STATEMENT**

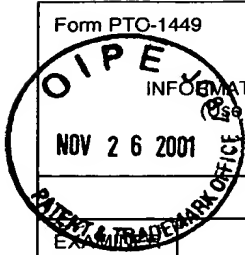
Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), **OR** (b) before the mailing date of a first Office Action on the merits. *No certification or fee is required.*
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance; **AND**
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**

- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 4. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection or Notice of Allowance, but before the payment of the Issue Fee. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p) (*A copy of this paper is attached*); AND
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); (OR)
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☒ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- ☐ 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).
- ☒ 8. A copy of each copending application recited in the parent application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.

  
\_\_\_\_\_  
Thomas Zell  
Signature under 37 CFR 1.33 & 34  
Registration No. 37,481  
Telephone No. 650-812-4282  
Date 10/25/2001

Form PTO-1449	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. D/A0849	APPLICATION NO. 09/682,488
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT Michael G. Lamming et al.	
		FILING DATE 9/7/2001	GROUP ART UNIT



NOV 28 2001

Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
	5,862,321	1/19/99	Lamming et al.	395	200.3
	6,144,997	11/7/00	Lamming et al.	709	217

## FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N
	EP	0 691 619 A2	6/27/95	Rank Xerox Limited.	Y
	EP	0 893 759 A2	7/16/98	Xerox Corporation	Y
	EP	0 893 760 A2	7/16/98	Xerox Corporation	Y
	GB	2 342 195 A	4/5/2000	Xerox Corporation	Y
	GB	2 342 196 A	4/5/2000	Xerox Corporation	Y
	GB	2 342 197 A	4/5/2000	Xerox Corporation	Y

## OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

	U.S. Patent Application Serial No. 09/270,451 (entitled "Mobile Email Document Transaction Service").
	U.S. Patent Application Serial No. 09/340,749 (entitled "Standalone Device For Identifying Available Document Services In A Token Enabled Operating Environment").
	Bluetooth Brochure. Printed June 1, 2000.
	Bluetooth Protocol Architecture, Version 1.0, August 25, 1999.
	Behind hp wireprint. Available on the Internet August 2001 at: <a href="http://www.hpwire.com/hpwire/behind.asp">http://www.hpwire.com/hpwire/behind.asp</a> .
	FLYNN ET AL., "The Satchel system architecture: mobile access to documents and services" Mobile Networks and Applications Volume 5, Issue 4, Pages 243-258, 2000.
	LAMMING ET AL., "Satchel: Providing Access to Any Document, Any time, Anywhere", ACM Transactions on Computer-Human Interaction Volume 7, Issue 3, Pages 322-352, 2000.
	"PrintBoy Office Bundle", Cutting Edge Software, Inc., 1996-2001. Available on the Internet August 2001 at <a href="http://www.cesinc.com/solutions/es_printboyoffice.html">http://www.cesinc.com/solutions/es_printboyoffice.html</a> .
	"HP Wire: Enabling E-printing Solutions for Enterprise". Available on the Internet August 2001 at: <a href="http://www.hpwire.com/hpwire/media/whhttp.pdf">http://www.hpwire.com/hpwire/media/whhttp.pdf</a> .
	"What is hp wire". Available on the Internet August 2001 at: <a href="http://www.hpwire.com/hpwire/what_is.asp">http://www.hpwire.com/hpwire/what_is.asp</a> .

EXAMINER	DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	